



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket: CA9-2000-0048US1/2174P

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on June 20, 2002.

Jackie Tanda
Jackie Tanda

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the name of:

Date: June 20, 2002

Chan, et al.

Serial No.: 09/996,127

Group Art Unit: 2171

Filing Date: November 27, 2001

Examiner: To Be Assigned

Title: METHOD AND SYSTEM FOR COMPOSING A QUERY FOR A DATABASE
AND TRAVERSING THE DATABASE

Assistant Commissioner of Patents
Washington, D.C. 20231

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JUL 09 2002

**PETITION FOR CORRECTION OF
INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Technology Center 2100

Sir:

The above-referenced patent application was filed November 27, 2001. Submitted on February 15, 2002 was a Declaration under 37 C.F.R. §1.63, executed by Victor CHAN, Fen WANG and Mark W. HUBBARD. Applicant hereby petitions the Commissioner of Patents and Trademarks to correct the inventorship of the present application to include the addition of the following individual as a named inventor:

Howard Chun CHIN, Citizen of Canada
36 Southgate Avenue
North York, Ontario M3H1B2
Canada

In accordance with 37 C.F.R. §1.48(a), submitted herewith is a statement by Mr. CHIN, a Supplemental Declaration executed by all named inventors, and an Assent to Correction/Addition of Inventors executed by the Assignee of Record, International Business Machines, Inc.

Check No. 4243 in the amount of \$130.00 is enclosed for payment of the Petition Fee pursuant to 37 C.F.R. §1.17(i). The Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

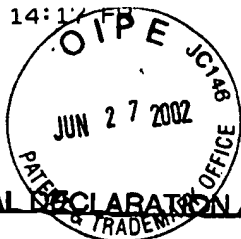
07/02/2002 GWORDDF1 00000038 09996127

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130.00 OP

Respectfully submitted,

Stephen G. Sullivan
Sawyer Law Group LLP
Attorney for Applicant(s)
Reg. No. 38,329
(650) 493-4540



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Attorney Docket: CA920000048US1/2174P
Page 1 of 3

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below, next to our names,

We believe that we are first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND SYSTEM FOR COMPOSING A QUERY FOR A DATABASE AND TRAVERSING THE DATABASE

the specification of which

is attached hereto.

X was filed on November 27, 2001 as Application Ser. No.: 09/996,127
and was amended on _____ (if applicable).

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Technology Center 2100

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a).

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>2,327,167</u>	<u>Canada</u>	<u>November 30, 2000</u>	<u>X</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

We hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorneys and/or agents to prosecute this application and conduct all business in the United States Patent and Trademark Office connected therewith.

Romualdas Strimiatis, Reg. No. 35,697
Prentiss W. Johnson, Reg. No. 33,123
Ingrid M. Foerster, Reg. No. 36,511
Christopher A. Hughes, Reg. No. 26,914
John E. Hoel, Reg. No. 26,279
Edward A. Pennington, Reg. No. 32,588

Joseph C. Redmond, Jr., Reg. No. 18,753
Joseph A. Sawyer, Jr., Reg. No. 30,801
Janyce R. Mitchell, Reg. No. 40,095
Stephen G. Sullivan, Reg. No. 38,329
Michele Liu, Reg. No. 44,875
Joyce Tom, Reg. No. 48,681

Please direct all telephone calls to Mr. Joseph A. Sawyer, Jr. at telephone number (650) 493-4540 and address all correspondence to: **SAWYER LAW GROUP, P.O. Box 51418, Palo Alto, California 94303**

Full Name of first/joint Inventor: **Victor CHAN**

Residence Address: **415 Beverley Glen Blvd.
Thornhill, Ontario L4J 7S4
Canada**

Country of Citizenship: **Canada**

Post Office Address: **Same**

Signature of Inventor: _____

Date: April 19, 2002

Full Name of second/joint Inventor: **Fen WANG**

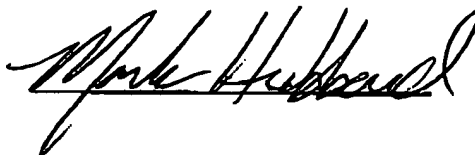
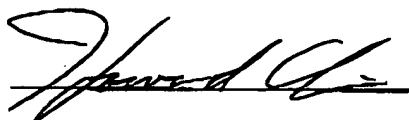
Residence Address: **25 St. Dennis Drive, Apt. 706
Toronto, Ontario M3C 1E6
Canada**

Country of Citizenship: **Canada**

Post Office Address: **Same**

Signature of Inventor: _____

Date: April 19, 2002

COMBINED (SUPPLEMENTAL) DECLARATION/POWER OF ATTORNEY (combined)**Full Name of third/joint Inventor:** Mark W. HUBBARD**Residence Address:** 27 Matterhorn Road
Maple, Ontario L6A 2V4
Canada**Country of Citizenship:** Canada**Post Office Address:** Same**Signature of Inventor:****Date:** April 19th, 2002**Full Name of fourth/joint Inventor:** Howard Chun CHIN**Residence Address:** 36 Southgate Avenue
North York, Ontario M3H1B2
Canada**Country of Citizenship:** Canada**Post Office Address:** Same**Signature of Inventor:****Date:** April 19/02



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Chan, et al.

Serial No.: 09/996,127

Group Art Unit: 2171

Filed: November 27, 2002

Examiner: Unassigned

For: METHOD AND SYSTEM FOR COMPOSING A QUERY FOR A DATABASE
AND TRAVERSING THE DATABASE

Assistant Commissioner for Patents
Washington, D.C. 20231

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**STATEMENT BY OMITTED INVENTOR
UNDER 37 CFR § 1.48(a)**

Technology Center 2100

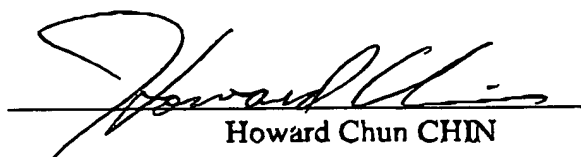
Sir:

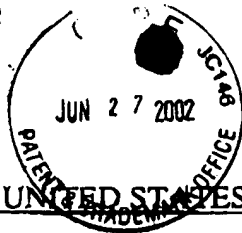
I, Howard Chun CHIN, hereby state that I believe I am an original, first and joint-inventor in the above-referenced patent application, and that the error identifying the inventorship of the above-referenced patent application occurred without any deceptive intention on my part.

Submitted herewith is a Declaration pursuant to 37 C.F.R. §1.63 executed by myself and my co-inventors, Victor CHAN, Fen WANG and Mark W. HUBBARD. Further, as this application was previously assigned of record to International Business Machines Corporation, I submit an Assignment executed concurrently with the Declaration.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed this 19 day of April, 2002.


Howard Chun CHIN



Attorney Docket: CA9200004RUS1/2174P

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**ASSENT OF ASSIGNEE TO CORRECTION/ADDITION
OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Technology Center 2100

Sir:

International Business Machines Corporation is the owner of the entire right, title and interest in and to the above-referenced patent application by virtue of an Assignment recorded in the United States Patent and Trademark Office on March 5, 2002 under Reel/Frame No. 012733/0641.

Assignee hereby assents to the correct of inventorship and confirms that the inventors for the present application are as named in the Supplemental Declaration filed herewith.

Signed this 13th day of June, 2002.

Ingrid M. Foerster
Staff Counsel and Attorney of Record
International Business Machines Corp.




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6/27/02
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CERTIFICATE OF MAIL

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Jackie Tanda

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 09 2002

Technology Center 2100

TRANSMITTAL LETTER


Sir:

In reference to the above-identified application, please find enclosed the following:

- 1) Petition for Correction of Inventorship under 37 CFR 1.48(a)
- 2) Check in the amount of \$130.00
- 3) Statement by Omitted Inventor
- 4) Supplemental Declaration executed by joint-inventors
- 5) Assent of Assignee to Correction of Inventorship
- 6) Assignment by added Inventor and Recordation Cover Sheet
- 7) Transmittal Letter
- 8) Post card.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number below.

Respectfully submitted,


Stephen G. Sullivan
Sawyer Law Group LLP
Attorney for Applicant(s)
Reg. No. 38,329
(650) 493-4540